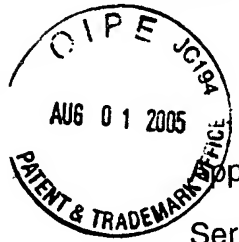


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoph Georg Erben et al.

: Group Art Unit: 1756

Serial No.: 10/812,191

: Examiner:

Filed: March 29, 2004

For COMPOUND FOR OPTICAL MATERIALS AND
METHODS OF FABRICATIONSUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

- ☐ 1 **Pursuant to 37 CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☒ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP AMENDMENT
COMMISSIONER FOR PATENTS
PO BOX 1450, ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

| | |
|---|--------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date indicated below. | |
| 7-29-05 | Date of Deposit |
| Monica Gentile | Type or Print Name |
| Monica Gentile | Signature |

This paper is submitted in accordance with:

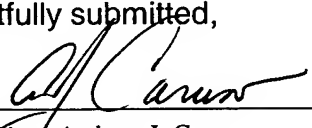
- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☒ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☒ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.


Respectfully submitted,

July 29, 2005

Date

General Electric Company
GEGP Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147


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| | | | | | | | |
|---|-----------------|--|------|---|--------------------------|-------------------------------|-----------------------|
| FORM PTO-1449 (REV. 7-80) | | US. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. 139041-1 | SERIAL NO. 10/812,191 | | |
|  <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u></p> | | | | Applicant Christoph Georg Erben et al. | | | |
| | | | | Filing Date 3/29/04 | Group 1756 | | |
| U.S. PATENT DOCUMENTS & U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | |
| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | A1 | | | | | | |
| | A2 | | | | | | |
| | A3 | | | | | | |
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| | A6 | | | | | | |
| | A7 | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
| | B1 | | | | | | |
| | B2 | | | | | | |
| | B3 | | | | | | |
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| | B5 | | | | | | |
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| OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
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| | C2 | XP002332342, Jiang et al., "Perfluorocyclobutane-Based Arylamine Hole-Transporting Materials for Organic and Polymer Light-Emitting Diodes", Advanced Functional Materials, Volume 12, No. 11-12, pp. 745-751, 2002. | | | | | |
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| | C4 | International Search Report dated May 7, 2005. | | | | | |
| | C5 | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant | | | | | | | |